

# Primary Disclosure Statement

## TRUSTED ADVISOR

**Maurice Mehlhopt**, FSP 110647

Postal: 4 Collingwood St Freemans Bay Auckland, 1101

Trading name: LYFSA.B. KINLOCH Limited [LBK]

Telephone number(s): 021 639574

Email address: mehlhoptmaurice@outlook.com

This disclosure statement was prepared on: 21<sup>st</sup> March 2021

### It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose. In addition to the information that I must disclose to you in this statement, I must also disclose other information to you in a separate disclosure statement (or statements), including information about the types of services that I provide, the fees that I charge, and any actual or potential conflicts of interest. If I have not provided that information to you at the same time as I give you this statement, I must provide it to you as soon as I can.

### What sort of adviser am I?

I am a Financial Adviser. This means I have been authorised by the Financial Markets Authority (the government agency that monitors financial advisers in NZ) to provide the financial adviser services described .

### How do I get paid for the services that I provide you?

Depending on the advice I give you and the Supplier you choose I may receive compensation from a Supplier . They compensate me in the knowledge that I will represent their product professionally and with due care and diligence

### What are my obligations?

As a FINANCIAL ADVISOR I must comply with the Code of Professional Conduct. I also have other obligations under the Financial Advisers Act 2008 (including regulations made under that Act) and under the general law.

### What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell me so that I can try to fix the issue.

If we cannot agree on how to fix the issue you can contact Financial Services Complaints Limited. This service will cost you nothing, and will help us resolve any disagreements.

Financial Services Complaints Limited can be contacted at:

Address: PO Box 5967  
Lambton Quay  
Wellington

Telephone number: 0800 347 257

Email address: info@fscl.org.nz

### How can I help you?

I have been authorised to provide you with financial adviser services in the following categories:

- Financial advice

When I do this, I will be able to give you advice about:

- Financial products provided by only one organisation
- Financial products provided by a small number of organisations (two to five organisations)
- Financial products provided by a broad range of organisations (more than five organisations)

### If you need to know more, where can you get more information?

If you have a question about anything given in this disclosure statement or you would like to know anything more about me, please ask me. If you have a question about financial advisers generally, you can contact the Financial Markets Authority.

### How am I regulated by the Government?

You can check that I am a registered financial service provider at <http://www.fspr.govt.nz>

The Financial Markets Authority authorises and regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under 'What should you do if something goes wrong?').

### Declaration

I, Maurice Mehlhopt declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (disclosure) Regulations 2010.

Signed

Date

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### Client acknowledgment Primary Disclosure Statement

I/We \_\_\_\_\_ acknowledge receipt of the Primary Disclosure Statement dated 21<sup>st</sup> March 2021 of Maurice Mehlhopt

Signed

Date

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Signed

Date

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